Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/788.651

SAWYER LAW GROUP LLP

P.O. Box 51418

Palo Alto, CA 94303

02/27/2004

Nicolas G. Adiba

SVL920040009US1 / 3063P

CONFIRMATION NO. 7245

FORMALITIES LETTER

OC0000000127525691

Date Mailed: 05/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

10788651 Alexandria VA 22313-1450

07/30/2004 FFANAIA2 00000039 090460

01 FC:1051-130.00 DA

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

TRANSMITTAL FORM

Attorney Docket No. SVL920040009US1/3063P

In re the Application: Nicolas G. ADIBA et al.

Confirmation No.: 7245

Serial No: 10/788,651

Group Art Unit: 2171

Filed: February 27, 2004

Examiner: To Be Assigned

For: Techniques to Preserve Data Constraints and Referential Integrity in Asynchronous Transactional Replication of Relational Tables

ENCLOSURES (check all that apply)

	Amendment/Reply				Assignment and Recordation Cover Sheet			After Allowance Communication to Group		
	After Final				Part B-Issue Fee Transmittal			Appeal Communication to Board of Appeals and Interferences		
	Information disclosure statement				Letter to Draftsman		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	Form 1449				Drawings		Status Letter			
	(X) Copies of References				Petition		Postcard			
	Extension of Time Request *				Fee Address Indica	Other Enclosure(s) (please identify below):				
	Express Aba	ndor	ment					by of Notice to File	Missing Parts	
	Certified Copy of Priority Doc				Power of Attorney and Revocation of Prior Powers					
	Response to Incomplete Appln				Change of Correspondence Address					
	Response to	Miss	sing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxxx month(s), from to .						
	Execu Invent	ted E or(s)	eclaration by						:n(s),	
CLAIMS										
After Amen			Claims Remaini After Amendme		Highest # of Claims Previously Paid For	Extra Cla	ims	RATE	FEE	
	Claims		63		63	0		\$18.00	\$ 0.00	
ınaep	Independent Claims		9		9	0		\$86.00	\$ 0.00	
Total Fees \$ METHOD OF PAYMENT									\$ 0.00	
	Check no in the amount of \$ is enclosed for payment of fees.									
	Charge \$130 .	Charge \$130.00 to Deposit Account No. 09-0460 (IBM Corporation) for payment of surcharge fee.								
Charge any additional fees or credit any overpayment to Deposit Account No. 09-0460 (IBM Corporation).										
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Attorney Name Joseph A. Sawyer, Jr., Reg. No. 30,801										
Signature Omn					9 A-MI					
Date		July	23, 2004	<u> </u>						
CERTIFICATE OF MAILING										
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 23, 2004										
Type or printed name Jinny Nguyen										
Signat	ure		Amer	n	\supset				-	

Attorney Docket: SVL920040009US1/3063P

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 23, 2004.

Jinny Nguyen

ML 29 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: July 23, 2004

Nicolas G. ADIBA et al.

Confirmation No.: 7245

Serial No.: 10/788,651

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For:

TECHNIQUES TO PRESERVE DATA CONSTRANITS AND REFERENTIAL INTEGRITY IN A SYNCHRONOUS TRANSACTIONAL PERMISSIONAL PROPERTY OF

INTEGRITY IN ASYNCHRONOUS TRANSACTIONAL REPLICATION OF

RELATIONAL TABLES

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing parts dated May 24, 2004, Applicant submits herewith the executed Declaration for the above-referenced patent application.

The Commissioner is authorized to charge \$130.00 to Deposit Account 09-0460 (IBM Corporation) for payment of the surcharge fee in accordance with 37 CFR 1.16(e). If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

SAWYER LAW GROUP LLP

July 23, 2004

Date

Attornov for Applicant

Attorney for Applicant(s)

Reg. No. 30,801 (650) 493-4540